



CAROL FLATON

Managing Director

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Carol is a managing director at Zolfo Cooper and has 30 years of experience in restructuring, banking and finance across an array of industries. She is currently advising the UCC of the Commonwealth of Puerto Rico.

Professional Experience

In 2017 Carol advised a privately-held aerospace parts manufacturer in its out-of-court negotiation with creditor groups. In 2016 she was the CRO of Cetera Financial Group (NYSE:RCAP), the second largest independent financial advisor network in the U.S. In 2015 she advised the UCC in both the A&P and Haggen bankruptcy cases, and the creditors of an undisclosed privately-held infrastructure asset in an out-of-court negotiation, and in 2014 Carol completed the financial restructuring of the Thomas Jefferson School of Law.

Prior to joining Zolfo Cooper in 2014, Carol was a managing director in the restructuring practice at Lazard Freres. At Lazard she advised debtors, creditors and equity holders engaged in restructurings, debt exchanges, 363 sales, refinancings and capital raises. Some of her financial advisory assignments included Lightsquared, Lehman Brothers, Supervalu,

Dynegy, CBay Systems, Medquist Holdings, ResCap and Capmark Financial Group.

Prior to Lazard, Carol was a managing director at both Credit Suisse First Boston and Citi (1995-2008), responsible for bilateral and agented distressed positions in the mortgage finance, mono-line insurance, structured products, energy, homebuilding, rental car, retail and industrial food service industries. During her career, Carol spent six years in banking positions in Europe (Zurich and Vienna). She has held the NASD Series 7 (registered representative) and Series 24 (managing principal) licenses, and has been a contributing member of the Wall Street Journal's bankruptcy blog panel: The Examiners.

Education, Certification & Professional Affiliations

- B.S.B.A. at the University of Delaware
- M.B.A. at IMD (International Institute of Management Development, Lausanne, Switzerland)